

State of Alaska FY2010 Governor's Operating Budget

Department of Health and Social Services Behavioral Health Administration Component Budget Summary

Component: Behavioral Health Administration

Contribution to Department's Mission

To direct and administer the statewide behavioral health programs and services, spanning the continuum from prevention and early intervention through treatment and recovery.

Core Services

- This component provides the centralized administrative and organizational structure for Behavioral Health; service system planning and policy development; programmatic oversight of community based behavioral health prevention and treatment services and programs delivered through grantee agencies; program and systems integrity; and, Medicaid management.
- The leadership in this component works closely with the Alaska Mental Health Board, the Advisory Board on Alcoholism and Drug Abuse, and the Alaska Mental Health Trust Authority to determine policy governing the planning and implementation of services and supports for people who experience mental illness, substance abuse disorders, or both.
- The division's staff collaborates regularly in planning and program efforts with other department divisions and other states agencies such as the Department of Corrections.

FY2010 Resources Allocated to Achieve Results		
FY2010 Component Budget: \$11,501,500	Personnel:	
	Full time	69
	Part time	2
	Total	71

Key Component Challenges

- Behavioral Health (DBH) continues to implement a coordinated and planned change in business practice and management philosophy. In the management of a behavioral health system, DBH is developing a focus on the delivery of high quality services and demonstrated treatment outcomes. Improving service through a more results-oriented and performance-based approach requires changes in philosophy, policy and practice within the division and throughout the provider system. It is our expectation that grantee-provider's administrative burden - periodic reporting and operational oversight - will be reduced; both accountability and the results-based /outcomes-focused orientation will increase through the application of this new paradigm.
- As Behavioral Health moves forward in the development of new business practices, the role of meaningful data increases in value and usefulness. In this respect, a Performance Management System becomes a valuable mechanism that supports and serves new business practices that are data driven and focus on outcomes.
- A key component of the Performance Management System is the method of distributing treatment funding, based on provider performance and outcomes (i.e. Performance based funding). The initial phase focused on developing a method of measuring grants reporting for FY08. The second phase focused on a methodology, including grant performance, average costs per client, data completeness and treatment outcomes data, for allocating grant funding in FY09.
- Successful implementation of a Performance Management System relies on a reliable method of collecting data, reporting and analysis. The division continues to develop the Alaska Automated Information Management System (AKAIMS) as a management information system to meet the current needs of the division's Performance Management System, as well as current and emerging State and Federal reporting requirements.

- Outcomes related to AKAIMS include: 1) Improvement in practice driven by outcomes; 2) Determining outcomes in a timeframe that provides meaningful feedback to clinicians; 3) Creation of tangible performance management strategies for administrators and clinicians. Data and performance management reports can be produced and examined to improve service delivery, effectiveness and efficiency; 4) Well-organized quantitative and qualitative data that is easily accessible to authorized persons, allowing continuous quality improvement processes to be conducted from State offices; and 5) Ability to evaluate client records for compliance purposes and to determine if a site visit is needed to help correct problems identified in the review.
- An important stage in the implementation of the new, integrated behavioral health system in Alaska is completion of integrated community standards and Medicaid regulations that are the foundation of our service delivery. As of October 2008, the internal regulations for both projects are in development and are being completed in order for us to move forward through the formal regulatory process.
- Ultimately, program standards will be embodied in national accreditation that will be required for all providers. Program authorization or approval will require that the provider is nationally accredited and that the division needs or desires the provider's services. Reaching this goal and assisting providers to succeed will take several years of preparation involving a partnership with the division, the provider organizations, the Alaska Mental Health Board, the Advisory Board on Alcoholism and Drug Abuse, and the Alaska Mental Health Trust Authority.

Significant Changes in Results to be Delivered in FY2010

In partnership with the Substance Abuse and Mental Health Services Administration (SAMHSA), Research Technology Inc. (RTI), the Division of Behavioral Health is implementing a one-year plan for the Alaska Automated Information Management System (AKAIMS). This new version update will enhance the division's ability to fulfill Federal reporting requirements. It will also provide significant enhancements to the functionality of the AKAIMS. These enhancements will include: group note functionality, electronic signature, profile set up for multiple users (minimal data set vs. clinical record vs. FASD agencies), State Outcomes Measurement and Management System (SOMMS) reporting, Ad-Hoc reporting, and Psychiatric Emergency Services Module. This upgrade will also establish the groundwork for future billing capability.

Major Component Accomplishments in 2008

- In partnership with SAMHSA, RTI, the Division of Behavioral Health has developed a one-year plan for AKAIMS. The SAMSHA / RTI partnership is providing financial support to the division to enhance the AKAIMS capacity in meeting federal reporting requirements (referred to as SOMMS). Currently, a formal proposal is being federally funded for \$195,000. Additional resources include \$140,000 from the Alaska Mental Health Trust Authority.
- Behavioral Health maintains an ongoing priority of implementing a Performance Management System, to function as a continuous quality improvement process to guide policy development and decision-making in improving the behavioral health system. A key component is the method of distributing treatment funding based on provider performance and outcomes, i.e., Performance-Based Funding (PBF). For FY08, the PBF effort successfully developed the performance measures of grants management scoring; cost per client; substance abuse utilization; the consumer survey; data / record completeness; and client outcomes. The performance of 61 behavioral health providers was measured with the following impacts: 1) 64% of all providers were awarded grant increments in one or more measures with high performance, in the amount of \$1,034,452; and 2) 82% of all providers received decreases in grant awards in one or more measures with low performance, in the amount of \$781,762.
- The division has initiated a policy change to overhaul its business practice and management philosophy: 1) Reduce the administrative burden for the division while ensuring adequate fiduciary oversight and management; 2) Increase customer/grantee satisfaction; and 3) Reduce administrative burden for grantees to interface with the division.
- Technical assistance from SAMHSA was instrumental in developing a work plan for the implementation of the performance management system that focused on information technology and performance based contracting.
- Change Agent training was an opportunity to realign the division and its grantees efforts toward the shared goals of providing high quality services to Alaskans efficiently and effectively.

Statutory and Regulatory Authority

AS 47.30.520 - 620	Community Mental Health Services Act
AS 47.30.011-061	Mental Health Trust Authority
7 AAC 71.010 - 300	Community Mental Health Services
PL 102-321	Community Mental Health Services
AS 47.30.665 - 915	State Mental Health Policy
7 AAC 72.010 - 900	Civil Commitment
AS 44.29.020	Department of Health and Social Services (Duties of department)
AS 44.29.210-230	Alcoholism and Drug Abuse Revolving Loan Fund
AS 47.30.470-500	Mental Health
AS 47.37	Uniform Alcoholism and Intoxication Treatment Act
7 AAC 29	Uniform Alcoholism and Intoxication Treatment Act
7 AAC 32	Depressant, Hallucinogenic, and Stimulant Drugs
7 AAC 33	Methadone Programs
7 AAC 78	Grant Programs

Contact Information

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**Behavioral Health Administration
Component Financial Summary**

All dollars shown in thousands

	FY2008 Actuals	FY2009 Management Plan	FY2010 Governor
Non-Formula Program:			
Component Expenditures:			
71000 Personal Services	4,370.8	5,924.4	6,366.5
72000 Travel	455.1	470.2	470.2
73000 Services	845.1	5,029.9	4,319.3
74000 Commodities	83.3	155.0	150.6
75000 Capital Outlay	0.0	64.9	34.9
77000 Grants, Benefits	23.7	1,367.3	160.0
78000 Miscellaneous	0.0	0.0	0.0
Expenditure Totals	5,778.0	13,011.7	11,501.5
Funding Sources:			
1002 Federal Receipts	1,559.6	5,569.9	5,330.7
1003 General Fund Match	121.0	1,224.5	1,259.4
1004 General Fund Receipts	541.4	764.6	339.2
1007 Inter-Agency Receipts	101.5	104.6	181.5
1013 Alcoholism & Drug Abuse Revolving Loan	2.0	2.0	2.0
1037 General Fund / Mental Health	2,382.4	3,679.7	2,853.1
1061 Capital Improvement Project Receipts	1.0	0.0	0.0
1092 Mental Health Trust Authority Authorized Receipts	149.3	625.2	472.0
1156 Receipt Supported Services	166.3	135.0	135.0
1168 Tobacco Use Education and Cessation Fund	626.1	684.1	701.7
1180 Alcohol & Other Drug Abuse Treatment & Prevention Fund	127.4	222.1	226.9
Funding Totals	5,778.0	13,011.7	11,501.5

Estimated Revenue Collections

Description	Master Revenue Account	FY2008 Actuals	FY2009 Management Plan	FY2010 Governor
Unrestricted Revenues				
None.		0.0	0.0	0.0
Unrestricted Total		0.0	0.0	0.0
Restricted Revenues				
Federal Receipts	51010	1,559.6	5,569.9	5,330.7
Interagency Receipts	51015	101.5	104.6	181.5
Receipt Supported Services	51073	166.3	135.0	135.0
Capital Improvement Project Receipts	51200	1.0	0.0	0.0

Estimated Revenue Collections				
Description	Master Revenue Account	FY2008 Actuals	FY2009 Management Plan	FY2010 Governor
Alcohol/Drug Abuse Revolving Loan Fund	51377	2.0	2.0	2.0
Restricted Total		1,830.4	5,811.5	5,649.2
Total Estimated Revenues		1,830.4	5,811.5	5,649.2

**Summary of Component Budget Changes
From FY2009 Management Plan to FY2010 Governor**

All dollars shown in thousands

	<u>General Funds</u>	<u>Federal Funds</u>	<u>Other Funds</u>	<u>Total Funds</u>
FY2009 Management Plan	5,668.8	5,571.9	1,771.0	13,011.7
Adjustments which will continue current level of service:				
-Transfer Administrative positions/funding from DSS/Administrative Support Services	193.4	275.9	73.9	543.2
-Reverse FY2009 MH Trust Recommendation	0.0	0.0	-625.2	-625.2
-Reverse FY09 OTI-Secured Detox and Treatment Involuntary Substance Abuse Commitment	-722.3	0.0	0.0	-722.3
-Reverse FY09 OTI - Add funding for Bethel Community Service Patrol	-333.8	0.0	0.0	-333.8
-Reverse FY09 One-time GF/MH funding for Suicide Prevention Strategy and Implementation	-200.0	0.0	0.0	-200.0
-Reverse FY09 GF for Planning and Design for Clithroe Center Replacement	-500.0	0.0	0.0	-500.0
-Transfer Federal Authority to Rural Services and Suicide Prevention Component	0.0	-500.0	0.0	-500.0
-Transfer Federal Authority to Behavioral Health Grant Component	0.0	-62.2	0.0	-62.2
-FY2010 Wage and Health Insurance Increases for Bargaining Units with Existing Agreements	95.6	47.1	37.4	180.1
Proposed budget increases:				
-MH Trust: Dis Justice - 1379.02 Clinical position within Office of Integrated Housing	0.0	0.0	75.0	75.0
-MH Trust: Housing - Grant 383.05 Office of Integrated Housing	0.0	0.0	185.0	185.0
-MH Trust: BTKH Grant 1391.02 Tool kit development and expand school-based services capacity via contract	0.0	0.0	100.0	100.0
-Add Funding for AKAIMS Dedicated Information Technology Staff	150.0	0.0	0.0	150.0
-MH Trust: BTKH - Technical Assistance	100.0	0.0	0.0	100.0
-MH Trust: BTKH - Technical Assistance	0.0	0.0	100.0	100.0

**Summary of Component Budget Changes
From FY2009 Management Plan to FY2010 Governor**

All dollars shown in thousands

	<u>General Funds</u>	<u>Federal Funds</u>	<u>Other Funds</u>	<u>Total Funds</u>
FY2010 Governor	4,451.7	5,332.7	1,717.1	11,501.5

**Behavioral Health Administration
Personal Services Information**

Authorized Positions			Personal Services Costs	
	FY2009 Management Plan	FY2010 Governor		
Full-time	62	69	Annual Salaries	4,355,873
Part-time	2	2	COLA	176,241
Nonpermanent	19	19	Premium Pay	3,381
			Annual Benefits	2,310,082
			<i>Less 7.00% Vacancy Factor</i>	(479,077)
			Lump Sum Premium Pay	0
Totals	83	90	Total Personal Services	6,366,500

Position Classification Summary

Job Class Title	Anchorage	Fairbanks	Juneau	Others	Total
Accounting Clerk	0	0	2	0	2
Accounting Tech III	0	0	1	0	1
Admin Asst III	2	0	0	0	2
Admin Operations Mgr II	0	0	1	0	1
Administrative Assistant II	0	0	1	0	1
Administrative Clerk II	1	0	1	0	2
Administrative Clerk III	2	0	0	0	2
Administrative Officer II	0	0	1	0	1
Assoc Coordinator	1	0	0	0	1
Community Mh Svc Prog Adm	0	0	1	0	1
Division Director	1	0	0	0	1
Division Operations Manager	0	0	1	0	1
Health Program Mgr I	1	0	0	0	1
Health Program Mgr II	5	1	0	0	6
Investigator II	1	0	1	0	2
Investigator IV	1	0	0	0	1
Medical Assist Admin III	1	0	0	0	1
Medical Assist Admin IV	3	0	0	0	3
Mntl Hlth Clinician II	1	0	0	0	1
Mntl Hlth Clinician III	13	1	2	0	16
Nurse IV (Psych)	1	0	0	0	1
Prog Coordinator	2	0	0	0	2
Project Asst	2	0	2	0	4
Project Coord	0	0	2	0	2
Project Coordinator	1	0	0	0	1
Project Manager	0	0	1	0	1
Public Health Spec II	1	0	0	0	1
Regnl Alcohol Prog Coord	0	0	1	0	1
Research Analyst II	0	0	1	0	1
Research Analyst III	2	0	3	0	5
Research Analyst IV	0	0	1	0	1
Secretary	1	0	0	0	1
Social Svcs Prog Coord	1	0	0	0	1
Student Intern I	11	0	7	0	18
Tobacco Enforcement Worker	1	0	1	0	2
Training Specialist II	1	0	0	0	1

Position Classification Summary

Job Class Title	Anchorage	Fairbanks	Juneau	Others	Total
Totals	57	2	31	0	90